

# Transfer of Income Distribution cum capital withdrawal plan (IDCW Transfer)



Please read the instructions carefully and strike off any sections that are not relevant or not applicable.

**Please Note: All field marked with asterisk (\*) to be mandatorily filled.**

Application No.:

ARN code	RIA / PMRN code**	ARN / RIA / PM Name	Sub broker ARN code	Sub broker code	EUIN*
ARN -	RIA/PMRN -		ARN -		

\*\*  By mentioning RIA/PMRN code, I/We authorize you to share with the Investment Adviser / Portfolio Manager the details of my/our transactions in the scheme(s) of YES Mutual Fund. (Please  if applicable)

Incase the EUIN box has been left blank, please refer the point related to EUIN in the Declaration & Signatures section overleaf. Upfront commission "if any applicable" shall be paid directly by the investor to the AMFI registered distributor, based on the investor's assessment of various factors, including the service rendered by the distributor.

Folio No.

Investor Name Mr. Ms. M/s.

IDCW Transfer out : Source Scheme		IDCW Transfer In: Target Scheme	
<b>From Scheme:</b>	<input type="text"/>	<b>To Scheme :</b>	<input type="text"/>
<b>Plan:</b>	<input type="text"/>	<b>Plan:</b>	<input type="text"/>
<b>Option</b> <small>(Please ✓ any one)</small>	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW Payout <input type="checkbox"/> IDCW Reinvestment	<b>Option</b> <small>(Please ✓ any one)</small>	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW Payout <input type="checkbox"/> IDCW Reinvestment
<b>*IDCW Frequency</b>	<input type="text"/>	<b>*IDCW Frequency</b> <small>(In case of IDCW Option)</small>	<input type="text"/>

Having read and understood the content of the SID / SAI of the scheme, I/we hereby apply for units of the scheme. I have read and understood the terms, conditions, details, rules and regulations governing the scheme. I/We hereby declare that the amount invested in the scheme is through legitimate source only and does not involve designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directives of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/We confirm that the funds invested in the Scheme, legally belongs to me/us. In event "Know Your Customer" process is not completed by me/us to the satisfaction of the Mutual Fund, (I/we hereby authorize the Mutual Fund, to redeem the funds invested in the Scheme, in favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the law.) The ARN holder has disclosed to me/us all the commissions (trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds amongst which the Scheme is being recommended to me/ us. I/We confirm that I/We do not have any existing Micro SIP/Lumpsum investments which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year (Applicable for Micro investment only.) with your fund house. For NRIs only - I / We confirm that I am/ we are Non Residents of Indian nationality/origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/ our Non Resident External / Non Resident Ordinary / FCNR account. I/We confirm that details provided by me/us are true and correct. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/ our NRE/FCNR Account.

SIGNATURE(S)

1st / Sole Holder	2nd Holder	3rd Holder
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## INSTRUCTIONS

- Transfer of Income Distribution cum capital withdrawal plan (IDCW Transfer) facility is available under the IDCW Options (other than Daily Reinvestment Sub-option) of all open ended Schemes of YES Mutual Fund.
- IDCW Transfer facility is available to unit holder(s) holding units in non-demat form under the IDCW Option of the Transferor Schemes.
- The provision for 'Minimum Application Amount' specified in the respective Target Scheme's SID will not be applicable under IDCW Transfer.
- Under IDCW Transfer, IDCW as & when declared in the transferor scheme will be automatically invested without any exit load into the transferee scheme as opted by the Unit holder, Such transfer will be treated as fresh subscription in the transferee scheme and invested at the Applicable NAV of the Transferee Scheme.
- IDCW will be transferred on the ex-IDCW date in the Transferee Scheme.
- In case the IDCW frequency has not been mentioned under Transferor Scheme, the units will be allotted under the default frequency.
- The enrolment to avail Transfer of IDCW facility has to be specified for each Scheme/ Plan/ Option separately via separate forms and not at the folio level.
- Enrolment under the IDCW Transfer facility will automatically override any previous instructions for IDCW Payout or IDCW Reinvestment option in the transferor scheme.
- The request for enrolment or cancellation for IDCW Transfer must be submitted at least 7 days prior to the Record Date for the IDCW. In case of the condition not being met, the enrolment would be considered valid from the immediately succeeding Record Date of the IDCW, provided the difference between the date of receipt of a valid application for enrolment under IDCW Transfer and the next Record Date for the IDCW is not less than 7 days.
- The AMC / Trustee reserve the right to change/ modify the terms and conditions of the IDCW Transfer on a prospective basis.
- This facility will not be available for units which are under any Lien/Pledged or lock in period.
- Incomplete IDCW Transfer forms are liable to be rejected.

## YES MUTUAL FUND ACKNOWLEDGMENT SLIP (To be filled in by the investor)

Application No.:

Folio No.	<input type="text"/>
From Scheme	<input type="text" value="Scheme Name"/>
Plan	<input type="text" value="Option"/>
To Scheme	<input type="text" value="Scheme Name"/>
Plan	<input type="text" value="Option"/>

Signature, Stamp & Date
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**YES Asset Management (India) Ltd. (Investment Manager for YES Mutual Fund)**

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