

## DECLARATION FOR INVESTMENTS IN SCHEMES OF YES MUTUAL FUND

Application / Folio No.

Date

1. I/We confirm that the transaction(s) in the schemes of the Fund is submitted by me/us, when I/We am /are present in India.
2. I/We hereby confirm that neither, YES Asset Management Co. Ltd. (YES AMC)/ YES AMC Trustee Company Limited (YES Trustee) / YES Mutual Fund (YES MF), has communicated with me/us in any manner for soliciting its schemes/ products, in the jurisdiction in which I/We reside.
3. I/We have, based on my/ our own discretion applied/ invested in the schemes of YES MF.
4. I/We confirm that the transaction(s) in the schemes of YES MF is based on my/our own decision and neither YES AMC nor YES Trustee, nor its employees/distributors have influenced my/our decision for transaction(s) in the Schemes of YES MF. This is irrespective of the fact whether I have received / referred information of any kind, from any source, about the schemes of YES MF.
5. I/We are aware that YESAMC/ YES MF have neither filed any of its constitution/ scheme related documents not registered its units in the Jurisdiction where I/We reside.
6. I/ We confirm that my/ our application is in compliance with applicable Indian and foreign laws and I am/ we are not prohibited from accessing capital markets under any order/ ruling/ judgment etc. of any jurisdiction/ regulation.
7. I/We agree and undertake to provide further information/documents to the YES AMC, YES Trustee or the Registrar and Transfer Agent of YES MF (the Registrar), as may be required with respect to my/our investment(s) in the schemes of the Fund.
8. I/ We hereby authorize YES AMC/ YES MF, its employees, its agents, its Registrar to disclose, share, remit in any form/ manner/ mode information with respect to investments made by me/ us and / or any part of it including the change/ updates that may be provided by me/ us to its agents, third party service providers, SEBI registered intermediaries for the purpose of any Indian or foreign statutory, regulatory, judicial, quasi- judicial authorities/ agencies requirements without any intimation/ advice to me/ us.
9. I/We understand and acknowledge that YES AMC / YES Trustee reserves the right to reject my/our transaction(s)/reverse units allotted in the schemes of YES MF at its sole discretion. Further, subject to non- receipt of required Documentation/ information or changes in applicable legal provisions or such other circumstances as determined by YES AMC. Further, YES AMC reserves the right to redeem my/our investment and refund the proceeds to me/us. I/We agree that such decision of YESAMC shall be final and binding on me/us.
10. I/We agree that YES AMC, YES Trustee and/or their directors, officers and employees shall not be liable and shall be indemnified for any direct, indirect, special, incidental or consequential cost, loss, expenses, damages and claims arising out of, including but not limited to my/our investments or on account of any untrue/misleading/incomplete statement/information provided by me/us basis which the Units of the schemes of YES MF has been allotted to me/us or rejection of my/our transactions/ reversal of units allotted, in the schemes of YES MF.

### Names and Signatures

Signature of sole/ first applicant	Second Applicant	Third Applicant
Name of Sole / First Applicant	Name of Second Applicant	Name of Third Applicant

### YES Mutual Fund

6th Floor, Indiabulls Finance Centre 1, Senapati Bapat Marg, Elphinstone (W), Mumbai -400 013. Tel No. :